

**FACTS****WHAT DOES FUTU CLEARING INC. DO WITH YOUR PERSONAL INFORMATION?**

<b>Why</b>	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
<b>What?</b>	The types of personal information we collect and share depend on the product or service you have with us. This information can include: <ul style="list-style-type: none"> <li>• Social Security number and income</li> <li>• Account balances and transaction history</li> <li>• Credit history and credit scores</li> </ul>
<b>How?</b>	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Futu Clearing Inc. chooses to share; and whether you can limit this sharing.

<b>Reasons we can share your personal information</b>	<b>Does Futu Clearing Inc. share?</b>	<b>Can you limit this sharing?</b>
<b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
<b>For our marketing purposes—</b> to offer our products and services to you	Yes	No
<b>For joint marketing with other financial companies</b>	No	We don't share.
<b>For our affiliates' everyday business purposes—</b> information about your transactions and experiences	Yes	No
<b>For our affiliates' everyday business purposes—</b> information about your creditworthiness	No	We don't share
<b>For our affiliates to market to you</b>	No	We don't share
<b>For nonaffiliates to market to you</b>	No	We don't share

**Questions?**Email us at [customersvc@futuclearing.com](mailto:customersvc@futuclearing.com) or call us (972) 913-4724.

**Page 2****Who we are**

Who is providing this notice?

Futu Clearing Inc.

**What we do**

How does Futu Clearing Inc. protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does Futu Clearing Inc. collect my personal information?

We collect your personal information, for example, when you

- Open an account
- Make Deposits or withdrawals from your account
- Make transactions

We also collect your personal information from others, such as credit bureaus, affiliates, and other companies.

Why can't I limit sharing?

Federal law gives you the right to limit only

- Sharing for affiliates' everyday business purposes—information about your creditworthiness
- Affiliates from using your information to market to you
- Sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing

**Definitions**

Affiliates

Companies related by common ownership or control. They can be financial and non-financial companies.

- Our affiliates include financial companies and non-financial companies whose names include "Futu". Our affiliates also include Moomoo Inc. and NiuNiu Inc.

Non-affiliates

Non-affiliates we share with can include service providers such as data processors.

- Futu Clearing Inc. does not share your information with nonaffiliates so they can market to you.

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- Futu Clearing Inc. does not jointly market.

**Other important information**

We will not share or otherwise disclose information we collect about you with nonaffiliated third parties, except as required or permitted by state law.